# OREGON BAR ETHICS COMPLAINT AGAINST:

# DAN ERNEST NEAL; NEAL & ENG LICENSE #772853

To whom this may concern,

When a law firm in Oregon uses a name like Neal & Eng, the Oregon State Bar has specific rules to ensure that the name accurately reflects the firm's structure and doesn't mislead the public. Here's what that implies and what's required:

**Oregon State Bar Requirements for Firm Names with Multiple Lawyers**

**1. Truthful Representation**

- The name must reflect actual lawyers practicing together. If the firm is called Neal & Eng, both Neal and Eng must be licensed attorneys and actively affiliated with the firm.
- Using two names implies a partnership or joint practice. If one lawyer has left or is inactive, the name may be considered misleading unless properly disclosed.

**2. Compliance with Oregon Rules of Professional Conduct**

Rule 7.5 governs firm names. It prohibits names that are false or misleading, including:

- Listing a lawyer who is not part of the firm
- Implying a partnership when none exists
- Using names of deceased or retired lawyers unless there's a legitimate succession

**3. Professional Liability Coverage**

• If the firm operates as a partnership or joint practice, both lawyers must be covered under the Professional Liability Fund (PLF) unless exempt. This ensures malpractice coverage for clients.

**4. Registration of the Firm Name**

• The firm must register the ABN with the Oregon Secretary of State, and the Oregon State Bar must be notified if the name is used in practice.
• If the firm is an LLP or other entity, it must also register with the Corporation Division and comply with entity-specific rules.

**Oregon State Bar Violations by Lawyer: Dan Ernest Neal & Neal & Eng**

**1. Improper Use of Deceased Partner's Name**

Fern Eng reportedly passed away in 2012, yet Neal continued using Neal & Eng without:
• Filing a succession plan
• Disclosing solo status
• Updating firm registration

This violates Oregon RPC 7.5(a) and ORS 9.160, which prohibit misleading firm names and unauthorized practice.

Fern Eng Obituary: https://www.afterall.com/obituaries/FernEng

**2. Delayed Firm Registration**

• Neal & Eng was not registered with the Oregon State Bar until 2023, despite being used in filings and public contracts for years.

- This suggests unauthorized practice under a non-existent entity, which may violate RPC 5.5 (unauthorized practice) and RPC 8.4(a–c) (conduct involving dishonesty or misrepresentation).

Neal & Eng: 2004 - https://web.archive.org/web/20040331225455/http://nealandeng.com/

**3. Misrepresentation in Public Defense Contracts**

Neal accepted court-appointed cases or public defense contracts under the Neal & Eng name, he may have:

- Misled courts about firm capacity
- Claimed resources or coverage he didn't possess
- Violated procurement integrity rules tied to ORS 135.045 and OPDS standards

Neal & Eng - 1988 https://webportal.courts.oregon.gov/portal/Home/Dashboard/29

**4. Failure to Disclose Malpractice Insurance Status - (Inconclusive; Unknown)**

• Oregon requires lawyers to disclose whether they carry professional liability insurance.

• If Neal implied coverage under Neal & Eng while operating solo and uninsured, that's a violation of RPC 1.4(b) and RPC 1.8(m).

**5. Using Neal & Eng in development letters**

- Imparts legal authority that may not exist
- Misleads city officials into believing a firm is backing the project
- Blurs professional boundaries, especially if the name is used to influence zoning, permitting, or public funding decisions

Pg. 6:
https://coeapps.eugene-or.gov/cmoweblink/DocView.aspx?id=11880&searchid=b616c&cr=1

## ACCOUNTABILITY

| Violation | Severity | Potential Outcome |
|---|---|---|
| Misleading firm name | Moderate | Public reprimand or suspension |
| Unauthorized practice | Severe | Suspension or disbarment |
| Contract misrepresentation | Severe | Disbarment if tied to fraud |
| Insurance concealment | Moderate | Suspension or probation |

## ADDITIONAL CHARACTER DATA TO CONSIDER

1. The email of Dan Ernest Neal attached to Neal & Eng is undeliverable.
2. The "contact us" emails to his Paradigm Properties NW are undeliverable.
   The website paradigmpropertiesnw.com is NOT SECURE.
   https://mxtoolbox.com/emailhealth/paradigmpropertiesnw.com/
3. The site lists Sharon Serra as an associate, but her real name is Shannon Sierra.

Case: 19CV10962: https://webportal.courts.oregon.gov/portal/Home/Dashboard/29

4. The reserved spot at his 1361 Pearl Office belongs to a 2021 state dismantled vehicle.
   **VIN**: WDBJF72F1VA264714 **PLATE**: VHL 326
5. He has also been reported to the IRS for wire-fraud, racketeering & reckless endangerment due to real estate matters unrelated to his position as an attorney, therefore evidence will be provided only if requested.

I am an OSINT researcher. I have put in over 300 hours specifically on Dan Ernest Neal. I am training for the investigating license for the state of Oregon. The above is accurate & truthful to the best of my knowledge.

Sincerely,

Sierra V Carbary
sierracarbary@gmail.com
458-264-8013